



# ACML CAPITAL MARKETS LIMITED

(Formerly : ASE Capital Markets Limited)

Member: BSE & NSE, SEBI REGN. NO.: INZ000260134 Depository: CDSL DP: 15200 & NSDL DP: IN302461 SEBI REGN. NO.: IN-DP-427-2019

CIN : U67120GJ2000PLC037431 GSTIN : 24AADCA4629D1Z4

Regd. Office: Kamdhenu Complex, Opp. Sahajanand College, Nr. Panjara Pole, Ahmedabad-380015

Ph.: 07968101000 Email: info@acml.in Website: www.acml.in

## Application form for addition of SLBM segment

Date : \_\_\_\_\_

To  
ACML Capital Markets Limited  
AHMEDABAD

### Sub : Request for addition of SLBM segment

Field	Details
AP ID	
UCC	
PAN	
Client Name	

### **Client Account ( UCC ) is already registered with**

BSE Cash ☐ NSE Cash ☐ BSE F&O ☐ NSE F & O ☐

I hereby request ACML Capital Markets Limited to activate the SLBM segment for my account. The KYC details as mentioned in my NSE/BSE UCC shall also apply for the SLBM segment.

I agree to abide by the terms and conditions, rules and regulations, and brokerage slab of 15% (excluding taxes) for the SLBM segment.

### **Other terms and Conditions:**

1. I/We hereby confirm that I/We have read, understood and agree to abide by all the applicable rules, regulations, guidelines, circulars, notifications, and terms & conditions laid down by SEBI, Stock Exchanges, Depositories and ACML Capital Markets Limited (hereinafter referred to as "ACML") that are currently in force and as amended from time to time.
2. I/We declare that the details provided by me/us are true and correct to the best of my/our knowledge and belief. I/We understand that providing false or misleading information or suppressing material facts may lead to termination of my/our account and further legal action.
3. I/We undertake to inform ACML immediately in case of any change in the information furnished by me/us. I/We am/are aware that any false or misleading or misrepresented information will attract liability.
4. I/We confirm that I/We have read, understood and agreed to the content of the document on Policy and Procedures of the stock broker and Tariff Sheet.
5. I/We have read, understood, and agreed to the provisions outlined in the 'Rights and Obligations' document(s) and 'Risk Disclosure Document', and understand these are also made available on the stock broker's designated website.
6. I/We hereby declare that I/We have complied and shall continue to comply with the Foreign Exchange Management Act, 1999 and all applicable laws and regulations. (Applicable for NRI clients)
7. I/We take full responsibility for any violation of regulations relating to operation of a minor's account and confirm that this account will be used only for sale of existing holdings and not for purchase of securities in the secondary market. (Applicable for Minor Accounts)

Signatures

Signature of the Trading Holder : \_\_\_\_\_

Name of the Trading Holder : \_\_\_\_\_

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8. I/We have read and understood the SEBI guidelines related to pledging of shares in dematerialised form under the SEBI (Depository and Participants) Regulations, 1996 and Depositories Act, 1996
9. I/We understand the FATCA and CRS compliance requirements and confirm that the information provided is true, complete, and correct. I/We have read and accepted the relevant terms and conditions.
10. I/We authorise ACML Capital Markets Limited to use my/our mobile number for sending information/alerts/SMS and confirm that the above declaration is true and correct.
11. I hereby declare that I have not been involved in any unlawful activities, and I have not been declared a defaulter nor is my name included in any defaulter list/database by SEBI/Stock Exchanges/Regulatory authorities.
12. I/We request ACML to debit my/our trading account for all charges pertaining to my/our Demat Account maintained with ACML (NSDL/CDSL DP), as and when the bill is raised.
13. I/We agree that in case of any non-compliance or breach of rules/regulations of SEBI/Exchanges/Depositories or policies of ACML, ACML shall be entitled to levy penalty charges which may be directly debited to my/our account.
14. I have read all the terms and conditions, rules regulations and policies of Exchange from the website of ACML.

### Requirements for Non-Individual Accounts :

Entity Type	Required Documents
<b>HUF (Hindu Undivided Family)</b>	<ol style="list-style-type: none"> <li>1. Declaration form for HUF account opening</li> <li>2. HUF Deed (in case of change of Karta)</li> <li>3. Death Certificate (if Karta has changed due to death)</li> <li>4. Karta's PAN</li> <li>5. HUF PAN</li> </ol>
<b>Corporate / LLP / Partnership</b>	<ol style="list-style-type: none"> <li>1. Balance Sheet for FY 2023–24</li> <li>2. List of Directors (as per MCA website) / Partners on Letterhead</li> <li>3. List of Authorised Signatories</li> <li>4. Board Resolution for SLBM segment addition</li> <li>5. Net Worth Certificate (as of March 2024)</li> <li>6. Latest Shareholding Pattern (If &gt;10% holding, KYC of shareholder is mandatory)</li> </ol>

Notes :

1. In case the KYC is not registered, the client must submit a full account opening application form.
2. Mandatory updation of the Family Flag for Email and Mobile must be done through the KORP back office login.
3. In case of any discrepancy observed in the client details, ACML reserves the right to request the full account opening form.
4. Signature of the client will be matched as per Demat account.

<p>Signature of the Trading Holder</p>	<p>Authorized Person (In-Person Verification):</p>
<p>Name of the Trading Holder :</p>	<p>Name of the AP :</p>
<p>Date: _____</p>	<p>Date: _____</p>
<p>Place: _____</p>	<p>Place: _____</p>